

RESEARCH & RELATED Other Project Information

1.	* Are Human Subjects Involved? <input type="radio"/> Yes <input type="radio"/> No 1.a. If YES to Human Subjects Is the Project Exempt from Federal regulations? <input type="radio"/> Yes <input type="radio"/> No If yes, check appropriate exemption number Exemption Number: <input type="text"/> 1 <input type="text"/> 2 <input type="text"/> 3 <input type="text"/> 4 <input type="text"/> 5 <input type="text"/> 6 If no, is the IRB review Pending? <input type="radio"/> Yes <input type="radio"/> No IRB Approval Date: Human Subject Assurance Number
2.	* Are Vertebrate Animals Used? <input type="radio"/> Yes <input type="radio"/> No 2.a. If YES to Vertebrate Animals Is the IACUC review Pending? <input type="radio"/> Yes <input type="radio"/> No IACUC Approval Date: Animal Welfare Assurance Number
3.	* Is proprietary/privileged information included in the application? <input type="radio"/> Yes <input type="radio"/> No
4.a.	* Does this project have an actual or potential impact on the environment? <input type="radio"/> Yes <input type="radio"/> No 4.b. If yes, please explain: 4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed? <input type="radio"/> Yes <input type="radio"/> No 4.d. If yes, please explain:
5.a.	* Is the research performance site designated, or eligible to be designated, as a historic place? <input type="radio"/> Yes <input type="radio"/> No 5.b. If yes, please explain:
6.a.	* Does this project involve activities outside the U.S. or partnership with International Collaborators? <input type="radio"/> Yes <input type="radio"/> No 6.b. If yes, identify countries: 6.c. Optional Explanation:
7.	* Project Summary/Abstract
8.	* Project Narrative
9.	Bibliography & References Cited
10.	Facilities & Other Resources
11.	Equipment

#7-No more than 30 lines of text with 0.5" margins all around.

#8-No more than 3 sentences.

#9-There should be a Pub Med Central ID # (PMCID) for each applicable publication per NIH [Public Access Policy](#).

#10-Include description of how the scientific environment will contribute to the probability of success of the project, unique features of the environment.
 *Early Stage Investigators - include description of Institutional investment, e.g. resources, classes, etc.

RESEARCH & RELATED Senior/Key Person Profile (Expanded)

PROFILE - Project Director/Principal Investigator			
Prefix Dr.	* First Name [• ^] @	Middle Name [• ^] @	* Last Name [• ^] @ Suffix D
Position/Title: Professor		Department: Medicine	
Organization Name: [• ^] @ [• ^] @		Division: Cardiology	
* Street1: 10833 Le Conte Avenue		Street2: Room 37-120 CHS	
* City: Los Angeles	County: Los Angeles County	* State: CA: California Province:	
* Country: USA: UNITED STATES		* Zip / Postal Code: 90095-1736	
*Phone Number 310-825-FGH		Fax Number	* E-Mail [• ^] @mednet.ucla.edu
Credential, e.g., agency login: [• ^] @		eRA Commons User Name. Double check this field is 100% accurate otherwise the proposals will NOT be forwarded to the NIH.	
* Project Role: PD/PI			
Degree Type:		File Name	
Degree Year:		Mime Type	
*Attach Biographical Sketch			
Attach Current & Pending Support			

Not required at the time of proposal submission, except K mentors.

5 Pages Max split into 3 sections:

A) Personal Statement - in 1st person; can include up to 4 publication citations; can include both ongoing & completed (during last 3 years); do NOT include pending support, any \$ amounts or % effort

B) Positions, Scientific Appointments and Honors - in reverse chronological order **starting** w/present

C) Contributions to Science - 5 max, no more than 4 citations per contribution - list applicable [Pub Med Central ID #'s](#);

PHS 398 Modular Budget, Period 5 and Cumulative

OMB Number: 0925-0001
Expiration Date: 9/30/2007

Budget Period: 5

Start Date:

End Date:

A. Direct Costs

Funds Requested (\$)

* Direct Cost less Consortium F&A

Consortium F&A

* Total Direct Costs

B. Indirect Costs

	Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	* Funds Requested (\$)
1.	<input type="text" value="Research On Campus"/>	<input type="text"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Cognizant Agency (Agency Name, POC Name and Phone Number)

Indirect Cost Rate Agreement Date

Total Indirect Costs

C. Total Direct and Indirect Costs (A + B)

Funds Requested (\$)

Cumulative Budget Information

1. Total Costs, Entire Project Period

* Section A, Total Direct Cost less Consortium F&A for Entire Project Period \$

Section A, Total Consortium F&A for Entire Project Period \$

* Section A, Total Direct Costs for Entire Project Period \$

* Section B, Total Indirect Costs for Entire Project Period \$

* Section C, Total Direct and Indirect Costs (A+B) for Entire Project Period \$

2. Budget Justifications

Personnel Justification

Consortium Justification

Additional Narrative Justification

Modular BJ-Justify UCLA personnel only. Do NOT include justification for any other categories. Detailed BJ-Justify ALL budgeted categories.

For Modular budgets only. Required only when requesting variations in the number of modules/differing \$ amounts between years or to explain F&A exemptions.

PHS 398 Research Plan

1. Application Type:

From SF 424 (R&R) Cover Page and PHS398 Checklist. The responses provided on these pages, regarding the type of application being submitted, are repeated for your reference, as you attach the appropriate sections of the research plan.

*Type of Application:

☐ New ☐ Resubmission ☐ Renewal ☐ Continuation ☐ Revision

2. Research Plan Attachments:

Please attach applicable sections of the research plan, below.

1. Introduction to Application

(for RESUBMISSION or REVISION only)

1 Page Max

2. Specific Aims

3. Research Strategy

R01 = 12 Pages Max
R21/R03 = 6 Pages Max

4. Inclusion Enrollment Report

5. Progress Report Publication List

Human Subjects Sections

6. Protection of Human Subjects

7. Inclusion of Women and Minorities

8. Targeted/Planned Enrollment Table

9. Inclusion of Children

Other Research Plan Sections

10. Vertebrate Animals

11. Select Agent Research

12. Multiple PD/PI Leadership Plan

13. Consortium/Contractual Arrangements

14. Letters of Support

15. Resource Sharing Plan(s)

16. Appendix

PHS 398 Cover Letter

* **Mandatory Cover Letter Filename:** _____

Cover Letter is recommended but non-mandatory. The letter should contain any of the following information that applies to the application:

1. Application title.
2. Funding Opportunity (PA or RFA) title of the NIH initiative.
3. Request of an assignment (referral) to a particular [awarding component\(s\) in Section 1.4](#) or [Scientific Review Group \(SRG\)](#). The PHS makes the final determination.
4. List of individuals (e.g., competitors) who should not review your application and why.
5. Disciplines involved, if multidisciplinary.
6. For late applications (see Late Application policy in [Section 2.14](#)) include specific information about the timing and nature of the cause of the delay.
7. When submitting a Changed/Corrected Application **after** the submission date, a cover letter is **required** explaining the reason for the Changed/Corrected Application. If you already submitted a cover letter with a previous submission and are now submitting a Changed/Corrected Application, you must include all previous cover letter text in the revised cover letter attachment. The system does not retain any previously submitted cover letters until after an application is verified; therefore, you must repeat all information previously submitted in the cover letter as well as any additional information.
8. Explanation of any subaward budget components that are not active for all periods of the proposed grant.
9. Statement that you have attached any required agency approval documentation for the type of application submitted. This may include approval for applications \$500,000 or more, approval for Conference Grant or Cooperative Agreement (R13 or U13), etc.