

I-129 EXPORT CONTROL CERTIFICATION

The following information will be used to determine if an export license is required from the U.S. Department of State or the U.S. Department of Commerce for the visa beneficiary during his/her period of employment at UCLA. Please email questions about this form to export.controls@research.ucla.edu. **This certification should be completed and signed by the individual who will be supervising the visa beneficiary.**

1. VISA BENEFICIARY INFORMATION

Last Name: _____ First Name: _____

Country of Citizenship: _____ Visa Extension? ____ Y ____ N

UCLA Job Title: _____ Department: _____

2. PRINCIPAL INVESTIGATOR/SUPERVISOR INFORMATION

Last Name: _____ First Name: _____

Title: _____ Department: _____

Phone Number: _____ Email: _____

3. WILL THE VISA BENEFICIARY BE WORKING IN ONE OF THE FOLLOWING AREAS?

- David Geffen School of Medicine
- Division of Life Sciences
- Division of Physical Sciences
- Henry Samueli School of Engineering and Applied Science
- Jonathan and Karin Fielding School of Public Health
- School of Dentistry
- School of Nursing

YES (proceed to page 9)

NO (Please sign and date this form below, and submit with the rest of the H-1B documents to the Dashew Center)

I am familiar with the job duties and other particulars of employment of the visa beneficiary and hereby affirm that the contents of the foregoing certification are true to the best of my knowledge, information, and belief. I further understand that failure to accurately complete this questionnaire can result in U.S. government export control violations for which civil and criminal penalties can be assessed against any individual (including a Principal Investigator) found to have caused or facilitated a violation, and/or against the University of California.

Signature: _____ Date: _____

If the response to Section 3 is YES, please complete Sections 4-7 and email pages 8-10 as an attachment to export.controls@research.ucla.edu. Please include the visa beneficiary's name and visa type in the email subject line. The certification will be reviewed, signed and dated, and returned to you for submission with the rest of the H-1B documents to the Dashew Center.

4. BENEFICIARY WILL BE PARTICIPATING IN WORK SUPPORTED BY (MARK ALL THAT APPLY):

- Grant/Contract Fund #: _____ Sponsor: _____
Fund #: _____ Sponsor: _____
Fund #: _____ Sponsor: _____
- University funds FAU(s): _____ Sales & Service? ___ Y ___ N
- Other FAU(s): _____

5. JOB DUTIES (MARK ALL THAT APPLY):

___ Research ___ Teaching ___ Administration ___ Clinical Services ___ Other

6. BENEFICIARY WILL BE PROVIDED ACCESS TO THE FOLLOWING IN THE PERFORMANCE OF HIS/HER JOB DUTIES (MARK ALL THAT APPLY):

- ___ Export controlled technology or technical data
- ___ Confidential or proprietary information from a sponsor or third party
- ___ Equipment specifically designed or developed for military or space applications

7. PRINCIPAL INVESTIGATOR/SUPERVISOR ATTESTATION (MARK ALL THAT APPLY)

- I certify that the research agreement (e.g., grant or contract) on which the visa beneficiary will be working does not restrict or prohibit the participation of foreign persons in the project.
- I certify that the research agreement (e.g., grant or contract) on which the visa beneficiary will be working does not restrict or prohibit the research team's right to publish any of the data or research results.
- I certify that the visa beneficiary will **NOT** be provided access to:
- Technical information that has been designated "export controlled";
 - Sponsor or third-party proprietary or confidential information, materials, or software; or
 - Encryption source code.
- I certify that the visa beneficiary will **NOT** be provided access to equipment specifically designed or developed for military or space applications.

I am familiar with the job duties and other particulars of employment of the visa beneficiary and hereby affirm that the contents of the foregoing certification are true to the best of my knowledge, information, and belief. I further understand that failure to accurately complete this questionnaire can result in U.S. government export control violations for which civil and criminal penalties can be assessed against any individual (including a Principal Investigator) found to have caused or facilitated a violation, and/or against the University of California.

Signature: _____ Date: _____

EXPORT CONTROL REVIEW

I have reviewed the submitted information and recommend that the following box be checked on Form I-129, Part 6, Certification Regarding the Release of Controlled Technology or Technical Data to Foreign Persons in the United States:

- 1. A license is not required from either the U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the foreign person; or

- 2. A license is required from the U.S. Department of Commerce and/or the U.S. Department of State to release such technology or technical data to the beneficiary and the petitioner will prevent access to the controlled technology or technical data by the beneficiary until and unless the petitioner has received the required license or other authorization to release it to the beneficiary.

Export Control Administrator
UCLA Research Policy & Compliance
(310) 206-3727

Date